Carequality Advisory Council
Meeting Minutes

March 18, 2020 | 1:00pm – 2:30pm ET
https://www.gotomeet.me/Carequality
Conference Number: (312)757-3121, passcode: 773758021#

Meeting Participants

Carequality Advisory Council Members

✓ Brian Clay, UC San Diego

✓ Seth Selkow, Kaiser Permanente

✓ Sid Thornton, Intermountain Healthcare

✓ George Gooch, THSA/HIE Texas

✓ Derek Plansky, HealtHIE Nevada

✓ (Proxy for) Katherine Thorpe, Surescripts

✓ (Proxy for) Therasa Bell, Kno2

✓ Stacy Gill, MIB

✓ Matt Becker, Epic

✓ Josh Parker, athenahealth

✓ Doc Devore, MatrixCare

Navi Gadhiok, eClinicalWorks

✓ Dan Werlin, NextGen Healthcare

✓ (Proxy for) Janine Akers, DataFile Technologies

✓ Sagnik Bhattacharya, PatientPing

✓ Shannah Koss, LivPact, Inc.

✓ David Mendelson, IHE

✓ Matthew Shuller, Blue Cross Blue Shield Association

James Murray, CVS Health

Jeffrey Anderson, Veteran's Health Administration

✓ Michael Marchant, UC Davis Health

Ryan Stewart, CommonSpirit Health (Dignity Health)

Larry Garber, M.D., Reliant Medical Group

Chris Voigt, PriviaHealth

Debi Willis, patientlink

✓ Scott Stuewe, DirectTrust

✓ Paula Braun, CDC

Amit Shah, GuideWell / Florida Blue

✓ Marty Prahl, Social Security Administration

Invited Subject Matter Experts and Carequality Support Team

✓ Chris Dickerson, Carequality

✓ Dave Cassel, Executive Director, Carequality

Dawn Van Dyke, The Sequoia Project

Didi Davis, The Sequoia Project

David Getman, Carequality

Mariann Yeager, CEO, The Sequoia Project

Michael Hodgkins, Steering Committee Vice-Chair

Steven Lane, Steering Committee Chair

✓ Bill Mehegan, Carequality

✓ Berdine Roque, Carequality

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Meeting Summary

Establish Quorum / New Business

Discussion Summary: The meeting was called to order at 1pm ET. Roll call was facilitated to identify the Carequality Advisory Council members present with attendance noted above. The agenda (noted below) was reviewed.

<u>Agenda</u>

- Welcome, Roll Call, Agenda Review
- Administrative Items
- Carequality Onboarding Task Force [Inform/Advise]
- Carequality Role in COVID-19 [Inform/Advise]
- Technical Trust Policy [Inform/Advise]
- Other Project Updates [Inform/Advise]
  - o FHIR Workgroups
  - o Query-Based Document Exchange Enhancements
  - o Push Notifications
  - o Document Content
  - o Image Exchange
- Production Operations Update [Inform]

Decision/Outcome: There were no questions and no new business was raised.

Action/Follow up: N/A

Administrative Items

<u>February Meeting Minutes</u>

Discussion Summary: The minutes for the February 19, 2020 meeting were presented for approval.

Decision/Outcome: There were no questions regarding the minutes. A motion was made to accept the minutes and it was seconded; thus, the February 19, 2020 Carequality Advisory Council meeting minutes were approved. There were no abstentions or oppositions.

Action/Follow up: The meeting minutes are considered final and will be archived.

Carequality Onboarding Task Force [Inform/Advise]

Discussion Summary: As Carequality's operations expand with an increased and variety of participants, it is critical to ensure that trust is not eroded. There are a number of potential threats to trust, which will likely need to be addressed in different ways, but recent observations over the past few months tend to fall into three main areas: onboarding transparency/identifying the treatment entity associated with queries claiming the "Treatment" permitted purpose, exchange volume disparities, Directory "oddities". These topics were discussed during the last Carequality Steering Committee meeting and it was recommended that we convene an Onboarding Task Force.

Management reviewed the Onboarding Task Force Charter highlights. The composition will focus on Carequality community members (will not be open to anyone as typical workgroups are), which include Carequality Implementers, "Candidate Implementers" connecting through service providers, Carequality

Connections, etc. The key scope elements include an application requirement for "Candidate Implementers", a mechanism for publicizing information provided in the applications, recommendations regarding ongoing auditing of Implementers and Candidate Implementers, and measures of success. The timeline for targeted completion is early June.

Decision/Outcome: There was no further discussion.

Action/Follow up: N/A

Carequality and COVID-19 [Inform/Advise]

Discussion Summary: Management provided an update on Carequality access and COVID-19. The Carequality Steering Committee has approved for organizations to receive a pass on one specific aspect of the full participation requirements that Carequality normally would enforce, for the duration of the declared state of national emergency/disaster. If one has the ability through a portal or similar mechanism to provide access to clinicians, there is no obligation to respond to queries, but queries can be allowed to be initiated. There is value in allowing access to records in the context of this emergency and one organization is currently taking advantage of this. Public Health was not addressed during the Carequality Steering Committee, but Management is open to this discussion. Additionally, a technology provider for a Carequality Implementer has offered their time to setup a gateway specifically for queries for large test labs to obtain access to COVID-19 test results. Management welcomed additional feedback, suggestions, and ideas for other initiatives being implemented or how Carequality can support.

Decision/Outcome: There was no further discussion.

Action/Follow up: N/A

Technical Trust Policy [Inform/Advise]

Discussion Summary: Management updated the branding around Identity Proofing documents that individuals must complete in order to receive Certificate Codes (per FBCA guidelines). These were formerly branded as "Entrust" forms and are now referred to as "Carequality" forms. All references to the Entrust forms in the Technical Trust Policy were changed to Carequality. The process of submitting this documentation also changed. Implementers previously submitted this documentation via email, now they are instructed to load them directly to a secure server. The instructions on this process were updated in the Technical Trust Policy. A fair number of grammatical updates were also made, but no changes were made to the technical framework/architecture itself. Redlined/tracked changes and clean versions of new document were provided to the Carequality Advisory Council and feedback was requested.

Decision/Outcome: N/A

Action/Follow up: N/A

Other Project Updates [INFORM/ADVISE]

FHIR Workgroups Timelines and Deliverables

Discussion Summary: Management provided an update on the timelines and deliverables. Deliverable I, to develop an Initial Policy and Technical Questions list, was completed in November of 2018. Deliverable

II, to produce an Implementation Guide for each workgroup, then combine into one Final Product, the Technical Workgroup Implementation Guide, is 60% complete. The expected completion date: Q2 of 2020 The Policy Workgroup Implementation Guide is 80% complete. The initial draft has been completed and the workgroup is now reviewing the document and following up on outstanding questions/To-dos. The expected completion date is Q2 of 2020. Both guides may need a reaction period to the TEFCA/Final Rule Deliverable III, the FHIR demos to the Carequality Advisory Council and Carequality Steering Committee will be completed in late Q2 of 2020. Deliverable IV, the final Implementation Guide to Carequality Advisory Council and the /Carequality Steering Committee is scheduled to occur in Q3 of 2020.

The FHIR Technical Workgroup continues to work through establishing a Trusted Dynamic Registration Workflow and are working towards testing out these workflows at the next Connectathon scheduled on May 16th – 17th at the HL7 Workgroup meetings in San Antonio. Pre-Connectathon testing will be conducted in April to ensure proper set-up for success.

A link to the Draft Implementation Guide is provided below and the Carequality Advisory Council's feedback is always welcomed:

https://docs.google.com/document/d/1iOour1orfMpYS30L2AU2wajZRXl7p6YWlk5F61TcZ1M/edit?ts=5d920fe0%20

The FHIR Policy Workgroup's weekly meetings currently consist of working sessions to actively review comments and feedback submitted by the group and making any necessary updates to the document. The group has recently been coordinating with CARIN and FAST regarding the approach to Identity Proofing, especially as it relates to the Patient Access Use Case to ensure a consistent approach.

A link to the first draft of the Policy Implementation Guide is provided below:

https://docs.google.com/document/d/1e-6sjXxze0kIndJZRYQw0sY6LYa8KxlhPc1Ao71OpQ/edit

Decision/Outcome: N/A

Action/Follow up: N/A

Query-Based Document Exchange Enhancements

Discussion Summary: The group has been reassembled to consider feedback from the Implementer community. Adjustments were made to the document testing requirements to account for the difference between the IG v2.0 ratification date and the Testing Program ratification date. New stats reporting automation additions were adjusted to allow for a 15-day extension if requested. There was discussion regarding Query Origins and System Vs Organization representation, Implementer-to-Implementer Response Time, and FHIR vs QBDE.

Decision/Outcome: N/A

Action/Follow up: N/A

Push Notifications Policy Workgroup

Discussion Summary: The Push Notifications Policy Workgroup is close to completion of the policy section of the Push Notifications Implementation Guide. The group will now meet on an ad hoc basis based on policy questions generated by the Technical Workgroup. After the conclusion of the Technical section, the group will evaluate the Implementation Guide in its entirety.

The Push Notifications Technical Workgroup continues its collaborative work. The first subscription mockups based on the Argonaut specifications have been completed and they are now finalizing nominal flow charts including alternate flows featuring Subscription Services. The workgroup will produce mockups of each notification type and the Tiger Team will be reassembled to complete educational components.

Decision/Outcome: N/A

Action/Follow up: N/A

Document Content

Discussion Summary: The first set of priority work items have been selected based on the votes of the workgroup members: Guidance for Data Provenance, Guidance for IHE On-demand Transactions, and Prioritized list of laboratory results to be shared. The group's discussion this week will focus on On-Demand Documents: https://carequality.org/joint-document-content-work-grouprecommendations-released/. Please email Admin@carequality.org if you are interested in joining the conversation.

Decision/Outcome: N/A

Action/Follow up: N/A

Image Exchange Process

Discussion Summary: The early adopter organizations (Ambra Health, LifeImage, and Philips) have either completed, or made substantial progress, on RSNA Image Share Validation testing. Virtual connectathons have begun involving the three organizations and feedback from this process will be incorporated into the draft IG Supplement for Image Exchange, as needed. The resulting IG Supplement version will be proposed to the Carequality Advisory Council and Carequality Steering Committee for adoption. The targeted timeline continues to be the end of Q2 to complete the formal review and adoption for production use. A fourth organization has requested an executable copy of the CCA and has expressed intentions to join the group.

Decision/Outcome: N/A

Action/Follow up: N/A

Production Operations Update [Inform]

Discussion Summary: Over 90 million patient documents exchanged in September 2019 and we are likely approaching 600M documents exchanged since July 2016.

Decision/Outcome: N/A

Action/Follow up: N/A

The meeting was adjourned at 2:30pm EST.